

ATTACHMENT A

	Risk Title	Risk Mitigation Summary	Page
1	R-3: PRSM Project Costs	The end-of-Adaptation milestone of November 23, 2010, signifying the end of the Adaptation Phase, was not met by the Vendor. Subsequently, the Vendor proposed a restructuring of the project to address the schedule delay, the details of which were in negotiations until December 2010 without agreement. The Vendor subsequently submitted a revised statement of work on March 11, 2011, which was agreed upon in a Project Change Request action on June 21, 2011, and referred to at the Go-Forward Plan. No change in overall project costs are envisioned.	10 of 51
2	R-2: Resource Availability	Caltrans has incorporated resource assignments in its PRSM project work plan that is integrated with the Vendor's effort. Further schedule refinements identify necessary resources. At this time there do not appear to be any Caltrans resource availability issues.	10 of 51
3	R-1: Business Process Change and Organizational Change Management	<p>Business Process Change has been addressed in two ways: First, a reworking of certain processes in existing information systems that PRSM will interface with, specifically Staff Central and E-FIS; second, the distribution of the To-Be business processes throughout the organization. Additionally, presentations to district groups have been delivered for well over 18 months, and, separately, a training course has been developed and is currently being delivered to district groups.</p> <p>An Organizational Change Management Plan has been composed to address the necessary changes to roles and responsibilities of staff within the Capital Program.</p>	11 of 51